Community College Governance Structures & State Appropriations for Student Financial Aid

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Presentation Overview

- **□** Background
- **□** Literature Review
- **□** Conceptual Framework
- **□** Research Question
- Methodology
- ☐ Findings
- **☐** Implications

Purpose

To explore the broader governance contexts in which community colleges are embedded to understand their relationship to state appropriations and financial aid

Community Colleges in HE

- Beacons of access for historically underserved & post-traditional students
- Serve the local economy
- Leveraged to achieve state & local attainment and economic objectives

HE Governance Reforms

- Four-year sector has fluctuated in centralization
- CCs steady shift toward centralization (Tollefson, 2009; Thelin, 2014)

Governance Structures & HE Finance

- Governance structures influence financial policy (Tandberg, 2013)
- Most studies are positioned in 4-year context (Hearn & Griswold, 1994;
 Hearn & McLendon, 2012; McLendon, Tandberg, & Hillman,
 2014; Tandberg & Ness, 2011; Tandberg, 2013; Zumeta, 1992, 1996)
- CC scholars in the 90s focused on relationship between state appropriations and financial aid (Fonte, 1993; Garrett, 1992-1993; Ingram & Tollefson, 1996)

Community College Context

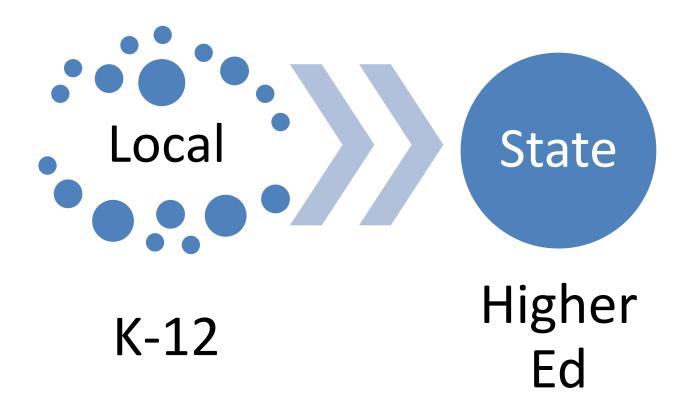


Table 1. Document analysis results—State-level community college governance structures (2011–2014).

Tuble 1. Document analysis rese	ats state level community	concyc governance on		
"Rational" Model: coordinating board for community colleges separate from K–12 & universities (23)	Community colleges same coordinating board as K– 12, separate from Universities (4)	Community colleges same coordinating board as universities (12)	Coordination for community colleges under a university governing board (5)	No state coordinating board (6)
California	Alabama	Arkansas	Alaska	Arizona
Colorado	lowa*	Idaho	Hawaii	Indiana
Connecticut	Michigan*	Illinois	Maine	New Jersey
Delaware	South Dakota	Kansas	Minnesota	New Mexico
Florida		Missouri	New York	Pennsylvania
Georgia		Montana		Washington
Kentucky		Nebraska*		
Louisiana		Nevada		
Maryland		North Dakota		
Massachusetts		Ohio		
Mississippi**		Oklahoma*		
New Hampshire		Utah		
North Carolina				
Oregon				
Rhode Island*				
South Carolina				
Tennessee				
Texas				
Vermont				
Virginia				
West Virginia				
Wisconsin				
Wyoming*				

^{*}State where community college's governing board acts as a coordinating board. Wisconsin has the U-Wisconsin Centers and an area and vocational technical system.

^{**}Mississippi: has an independent agency.

Table 2. Survey results—State-level community college governance structures by state (2015).

Coordinating/governing board for CCs separate from K–12 & univ.(19)	Same coordinating/ governing board as K–12, but separate from univ. (2)	Same coordinating/ governing board as univ. (17)	Coordination for CC governance falls beneath a univ. coordinating/governing board (3)	No state-level coordinating or governing board (4)
Alabama California Colorado Delaware Georgia Illinois Kentucky Louisiana Maine Mississippi New Hampshire New Jersey North Carolina South Carolina Virginia Washington West Virginia Wisconsin Wyoming	lowa Florida	Arkansas Connecticut Hawaii Kansas Massachusetts Minnesota Missouri Nebraska Nevada New Mexico Ohio Oklahoma Oregon Rhode Island Tennessee Texas Utah	Idaho Indiana Montana	Maryland Michigan Pennsylvania South Dakota

Table 3. Type of community college coordinating/governing body by state.

State governing board (14)	State coordinating council (1)	Association of community college presidents (6)	Association of community college trustees (3) (3)	Combination of any of the above (6)	Other, please specify (15)
Alabama Colorado Connecticut Hawaii Indiana Louisiana Maine Nevada New Hampshire North Carolina Rhode Island Utah Virginia Washington	West Virginia	Arkansas Idaho Massachusetts Maryland Missouri Pennsylvania	Nebraska Tennessee Wyoming	California Georgia Kentucky Ohio Oregon South Carolina	Delaware Florida Illinois Iowa Kansas Michigan Minnesota Mississippi Montana New Jersey New Mexico Oklahoma South Dakota Texas Wisconsin

Table 5.1. Percentage of Income from Various Sources for Public Two-Year Colleges, 1918–2010

Source	1918 ¹	1930 ¹	1942 ¹	1950 ¹	1959	1975	1980	1990	2000	2010
Tuition and fees	6	14	11	9	11	15	15	18	20	16
Federal funds	0	0	2	1	1	8	5	5	6	23^{2}
State funds	0	0	28	26	29	45	60	48	45	30
Local funds	94	85	57	49	44	24	13	18	20	18
Private gifts and grants	0		0	0	0	1	1	1	1	1
Sales and services	N.A.	N.A.	N.A.	N.A.	12	6	3	7	5	4
Other	0	2	2	2	2	1	3	3	4	8

¹ Includes local junior colleges only.

² Eighty-two percent of federal funds to community colleges in 2010 consisted of nonrecurring, nonoperating economic stimulus grants. The remainder (4 percent of total revenues) came in the form of traditional federal grants, contracts, and appropriations. *Sources*: Starrak and Hughes, 1954; Medsker and Tillery, 1971; NCES *Digests*, 1990–2011.

Conceptual Framework – Policy Ecology

Socioeconomic Context

Demographic: Population size, age group distribution, growth rate, density, % of color, # of cities over one million population, etc.

Educational: educational attainment levels, postsecondary enrollment rate, etc.

Economic: gross state product (GSP), GSP per capita, GSP per capita growth, median per-capita income, unemployment rate, etc.

Organizational and Policy Context

Organizational Ecology: # and the proportional distribution of institutions by control and level, student migration patterns, # and strength of research institutions in the state

Agency Analytic Capacity: # and nature of analytic staff researchers, financial analytics, and resources.

Policy Postures: Nature of postsecondary funding, tuition, and enrollment policies in the state

State Policy Decisions in Postsecondary Education

Politico-Institutional Context

Governance Arrangements: Governing Board, Planning Agency, etc.

Appointment Characteristics for State Agency Head: Appointed by governor, board, or legislature, term stagger, term length

Political Ideology: state ideology tendencies

Gubernatorial Characteristics: alignment with legislature, constitutional authority

Legislative Characteristics: legislative professionalism, session timing, etc.

Partisanship: legislative/gubernatorial party affiliations and strength, electoral cycle, etc.

Interest group Climate: Interest group density, strength, coordination, etc.

In-state intermediary organizations: Research and advocacy entities located in the state

External Context

Policy/Practice Emulation and Avoidance: states may learn from other states' experiences with policy/practice choices, thus informing and ideally improving their policy choices

State competitors: Other states, both current peers and national peers, may make moves that compel states to act in policy arenas

State Networking: Through regional and national interstate associations, state leaders establish contacts and relationships that can smooth collaboration and improve outcomes

Federal Influences: Federal policies shape decisions on student aid, research, and workplace operations, and SHEEOs may be responsible for interpreting and implementing federal initiatives, rules and regulations

National Intermediary Organizations: SHEEOs and other state leaders may be lobbied and influenced through contacts with national intermediary foundations and initiatives (e.g., the Lumina Foundation, the Gates Foundation, and the Complete College America)

Conceptual Framework

Conceptual Framework – Policy Ecology

Socioeconomic Context

- The socioeconomic context of a states is a driver of state higher education decision-making and policymaking.
 - Our measures: Income Inequality, State Population, Unemployment Rate

Organizational & Policy Context

- Wide variation exists among the states in the organization, governance, and finance of higher education. Composed of three components: the organizational ecology, agency analytic capacity, and policy postures.
 - Our measures: Share of students in CCs, state appropriations per FTE, Grant Aid, Tuition & Fees at two- and four-year colleges

Conceptual Framework – Policy Ecology

Politico-institutional Context

- The political conditions and institutional arrangements of a state influence higher education decisions.
 - Our measures: State citizen ideology, Governor Power, Percent of Legislature identified as Democrat

External Context

- States and institutions are often impacted by external factors outside their border. Diffusion from other states, intermediary organizations, or intervention from the federal government
 - Our measures: None. Focus is on the internal characteristics of the state.*

Research Questions

The research question driving this analysis is:

1. What, if any, relationship exists between community college governance structures and allocation of merit aid, need-based aid, and state appropriations for higher education writ large?

Data Collection

- Panel Dataset: 2004-2015
- Data: IPEDS, NASSGAP, SHEF, WICHE, U.S. Census

Community College Governance Variable

- Dichotomous variables by governance type (Katsinas, 1996; Fletcher & Friedel, 2016; Tollefson, Garrett, and Ingram, 1999)
- Utilized ECS and state websites to identify the year and type of change
- Vetted the IV against internet searches
- Note: this schema does not include measures to compare the relative power of an organizing body (i.e. coordinating versus governing board powers)

2015 taxonomy of community college governance structures

Coordinating/	Same	Same	Coordination for	Coordination for	No state-level
governing board	coordinating/gove	coordinating/gove	CC governance	CC governance	coordinating or
for CCs separate	rning board as K-	rning board as 4-	falls under a P-20	falls beneath a 4-	governing board
from K-12 & 4-	12 but separate	year (22)	board (1)	year	(3)
year. (19)	from 4-year (2)			coordinating/gove	
	-			rning board (3)	
Alabama	Iowa	Arkansas	Idaho	Alaska	Arizona
California	Florida	Connecticut		Hawaii	Pennsylvania
Colorado		Indiana		New York	South Dakota
Delaware		Kansas			
Georgia		Massachusetts			
Illinois		Maryland			
Kentucky		Michigan			
Louisiana		Minnesota			
Maine		Missouri			
Mississippi		Montana			
New Hampshire		Nebraska			
New Jersey		Nevada			
North Carolina		New Mexico			
South Carolina		North Dakota			
Virginia		Ohio			
Washington		Oklahoma			
West Virginia		Oregon			
Wisconsin		Rhode Island			
Wyoming		Tennessee			
		Texas			
		Utah			
		Vermont			

Data Analysis

- Two-Way Fixed Effects Techniques
- Best accounts for panel structure of data (Hausman Test > RE & F-test > one-way FE)
- 1) Two-Way FE
- 2) Two-Way FE w/ Governance Interaction Terms
- 3) Split Samples

Data Analysis

(1)
$$AppropsFTE_{it} = \propto + \beta_1 CCg_{it} + \beta_2 PEC_{it} + \mu_i + \delta_i + \eta_t + \varepsilon_{it}$$

 $AppropsFTE_{it}$: Dollar amount of appropriations per FTE (merit, need, or

all appropriations) for an individual state *i* in year *t*

Regression coefficients corresponding to one of the six

categorical variables created to represent state

governance arrangements for community colleges

Regression coefficients corresponding to control variables

from the policy ecology framework

Constant for the states

State effects term

Time effects term

A random error term for state *i* at time *t*

 β_1 :

Data Analysis

(2)
$$AppropsFTE_{it} = \propto + \beta_1 CCg_{it} + \beta_2 PEC_{it} + \beta_3 CCg_{it} * PEC_{it} + \mu_i + \delta_i + \eta_t + \varepsilon_{it}$$

AppropsFTE_{it}: Dollar amount of appropriations per FTE (merit, need, or

all appropriations) for an individual state *i* in year *t*

 β_1 : Regression coefficients corresponding to one of the six

categorical variables created to represent state

governance arrangements for community colleges

 β_2 : Regression coefficients corresponding to control variables

from the policy ecology framework

 β_3 : Regression coefficients corresponding to interaction terms

between the governance variable and policy ecology

controls

 μ_i : Constant for the states

 δ_i : State effects term

η: Time effects term

 ε_{it} : A random error term for state *i* at time *t*

Research Method

	(1) Centralized CC	(2)		(3)		(4)	(5)	(6)
ariable Name	Governance	No Centralized CC Governance	**Two-way Fixed Effects Models with Interaction Terms for Centralized CC		Interaction Terms f	Effects Models with for No Centralized CC ernance	Only Includes States with Centralized CC Governance	Only Includes States with No Centralized CO Governance
			Main Effects	Interaction Effects	Main Effects	Interaction Effects		
ndependent Variable								
C Governance	-175.627** (53.257)	98.040*** (25.735)		5.800 8.145)		.347*** 8.894)	0.000	0.000 (.)
ocioeconomic Context								
ncome inequality measure (Gini Coefficient)	3.437 (3.283)	3.355 (3.604)	2.935 (3.318)	0.000	2.79 (3.632)	0.356 (5.587)	3.752 (6.212)	-0.412 (6.164)
tate population (logged)	0.000*** (0.000)	0.000*** (0.000)	0.000*** (0.000)	0 (0.000)	0.000*** (0.000)	0 (0.000)	0.000+ (0.000)	0.000 (0.000)
nemployment Rate	17.949* (6.795)	18.496* (7.105)	12.123+ (6.240)	-16.961** (6.211)	19.056* (7.286)	0.758 (6.591)	11.057 (10.859)	36.629 (20.125)
organizational & Policy Context								
hare of Students in CCs	-31.041 (216.180)	-6.882 (216.592)	169.512 (152.941)	765.728 (684.863)	-27.416 (231.746)	502.422 (474.156)	-910.239 (780.097)	-2.1e+03** (347.180)
tate appropriations per FTE	0.000 (0.008)	0.002 (0.009)	0.002 (0.009)	-0.032* (0.012)	0.002 (0.009)	0.004 (0.009)	-0.003 (0.015)	-0.051 (0.039)
rant Aid (Sum)	-0.000** (0.000)	-0.000** (0.000)	-0.000* (0.000)	-0.000* (0.000)	-0.000** (0.000)	0 (0.000)	-0.000 (0.000)	-0.000 (0.000)
uition & Fees at Four-years (Sum)	-0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)	-0.000* (0.000)
uition & Fees at Two-years (Sum)	-0.000 (0.000)	-0.000 (0.000)	-0.001** (0.000)	-0.001 (0.000)	-0.000 (0.000)	0.001 (0.001)	0.000 (0.001)	0.002+ (0.001)
olitico-institutional Context								
tate Citizen Ideology	0.02 (0.384)	0.027 (0.381)	0.127 (0.447)	-0.671 (0.728)	0.076 (0.417)	-0.455 (0.321)	-0.263 (0.501)	-0.518+ (0.199)
iovernor Power	-16.285 (84.380)	-1.002 (98.767)	-51.544 (75.037)	-68.024 (159.107)	-0.387 (104.565)	213.422+ (110.341)	38.126 (165.341)	76.077 (85.470)
ercent of Legislature (Democrat)	-0.707 (16.729)	0.109 (16.855)	32.668 (23.212)	96.919 (68.219)	0.221 (17.449)	88.151+ (45.706)	-8.902 (18.898)	-68.616 (29.586)
2 -Test	0.035	0.037		031 000		.017 .848	0.001	0.392
ample Size otes. Standard errors in Standard errors in parentheses	600	600		500		600	231	40

Table 2. Outcome Variable: Merit-based Aid per FTE (3) (4) (5) (6) **Only Includes** Centralized CC **Two-way Fixed Effects Models with **Only Includes** Two-way Fixed Effects Models with States with Governance No Centralized **Interaction Terms for Centralized CC** States with No Centralized Interaction Terms for No Centralized CC Governance Separate from K-12 & 4-Centralized CC Separate from K- CC Governance Governance CC12 & 4-year year Governance Governance Variable Name Main Effects Interaction Effects **Main Effects** Interaction Effects Independent Variable 265.698 0.000 0.000 CC Governance 12.153 12.153 88.645 (41.761)(41.761)(245.858)(779.171)(.) (.) Socioeconomic Context Income inequality measure (Gini Coefficient) 6.137 6.137 5.826 0.000 6.471 0.356 4.345 1.463 (5.317)(5.317)(5.232)(0.000)(5.696)(5.587)(3.581)(5.863)State population (logged) 0.000 0.000 0.000 0.000 0.000 -0.000-0.0000.000 (0.000)(0.000)(0.000)(0.000)(0.000)(0.000)(0.000)(0.000)22.609** Unemployment Rate 14.086** 14.086** -16.961** 15.006** 0.758 3.06 -9.82 (4.858)(4.858)(7.322)(6.211)(5.067)(6.591)(7.727)(4.233)Organizational & Policy Context Share of Students in CCs 319.672 319.672 257.185 765.728 308.33 502.422 1569.389* -335.26 (369.813)(369.813)(355.509)(684.863)(375.132)(474.156)(700.097)(163.402)-0.005 -0.005 0.006 -0.032* -0.006 0.004 -0.032* 0.012 (0.010)(0.010)(0.009)(0.012)(0.011)(0.009)(0.015)(0.011)-0.000-0.000-0.000+0 -0.0000 0.000 -0.000(0.000)(0.000)(0.000)(0.000)(0.000)(0.000)(0.000)(0.000)Tuition & Fees at Four-years (Sum) -0.000-0.000-0.0000.000 -0.000-0.000-0.000-0.000(0.000)(0.000)(0.000)(0.000)(0.000)(0.000)(0.000)(0.000)Tuition & Fees at Two-years (Sum) 0.000 0.000 0.000 -0.0010.000 0.001 -0.000-0.000(0.000)(0.000)(0.001)(0.001)(0.000)(0.001)(0.000)(0.001)

State appropriations per FTE
Grant Aid (Sum)

Politico-institutional Context State Citizen Ideology

Percent of Legislature (Democrat)

Notes. Standard errors in Standard errors in parentheses + p<.10, * p<.05, ** p<.01, *** p<.001 All models contain fixed effects for year and state.

Governor Power

R2

F-Test

Sample Size

0.401

(0.402)

-69.182

(73.222)

-29.105

(26.509)

0.008

600

0.401

(0.402)

-69.182

(73.222)

-29.105

(26.509)

0.008

600

0.626

(0.602)

-69.924

(78.829)

-74.049

(59.673)

Models (5) and (6) are split based on whether or not there is any sort of centralizing state level structure or not to further explore the relationships between the policy ecology variables and appropriations without the moderating governance variable in light of the significance discovered in Models (2) and (4).

0.000

0.001

600

-0.671

(0.728)

-68.024

(159.107)

96.919

(68.219)

0.448

(0.428)

-68.915

(78.114)

-30.746

(27.061)

0.002

0.9998

600

-0.455

(0.321)

213.422+

(110.341)

88.151 +

(45.706)

0.01

(0.381)

-65.723

(124.321)

4.806

(17.891)

0.017

231

-0.569

(0.277)

252.063

(167.430)

17.866

(19.613)

0.017

40

	(1)	(2)		(3)	(4	4)	(5)	(6)
Variable Name	Centralized CC Governance Separate from K-12 & 4-year	No Centralized CC Governance			Two-way Fixed Effects Models with Interaction Terms for No Centralized CC Governance		Only Includes States with Centralized CC Governance	Only Includes States with No Centralized CC Governance
Independent Variable Coordinating/Governing Board for CCs separate from K-12 & 4-year	-423.007	57.419	***	e+03***	-1.0e+04**			
	(318.352)	(537.537)	(1,6	580.494)	(3,41	1.546)		
Socioeconomic Context								
Income inequality (Gini Coefficient)	10.94 (25.146)	10.108 (25.751)	1.204 (20.561)	0.905*** (0.041)	12.095 (28.911)	30.525 (53.347)	47.767 61.093	49.72255 (49.722)
State population (logged)	-0.000 (0.000)	-0.000 (0.000)	0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)	0.000 (0.000)	0004048 0.000	-0.00074 (0.000)
Unemployment Rate	-195.838** (61.684)	-196.732** (63.229)	-154.658* (64.880)	10.773 (23.293)	-178.137** (65.092)	-48.414 (48.367)	-82.76249 (101.735)	113.5527* (24.306)
Organizational & Policy Context								
Share of Students in CCs	-2.70E+03 (2,460.096)	-2.60E+03 (2,416.274)	-2.70E+03 (2,986.109)	5328.328+ (3,098.040)	-3.10E+03 (2,364.770)	8.31E+03 (5,023.711)	-8088.032 (3604.715)	7054.055 (5,442.328)
Grant Aid (Sum)	0.000 (0.000)	0.000 (0.000)	0.000 (0.000)	0.000* (0.000)	0.000 (0.000)	-0.000** (0.000)	0.000 (0.000)	0.000000717 (0.000)
Tuition & Fees at Four-years (Sum)	-0.000 (0.001)	-0.000 (0.001)	0.001 (0.001)	-0.002* (0.001)	-0.000 (0.001)	0.002* (0.001)	-0.001 (0.002)	0.000698 (0.000)
Tuition & Fees at Two-years (Sum)	-0.004* (0.002)	-0.004* (0.002)	-0.002 (0.002)	0.002 (0.003)	-0.005* (0.002)	-0.009** (0.003)	-0.007 (0.005)	-0.0041576 (0.004)
Politico-institutional Context								
State Citizen Ideology	0.528 (2.685)	0.614 (2.669)	0.813 (2.655)	-1.338 (2.355)	1.46 (2.738)	-4.392 (3.099)	2.13216 (4.952)	-2.468742 (2.665)
Governor Power	-661.872 (801.619)	-629.342 (794.306)	92.929 (551.682)	275.351 (636.451)	-857.879 (840.896)	4975.965* (2,474.346)	-2636.473 (2106.372)	1916.292 (1416.49)
Percent of Legislature (Democrat)	-117.715 (105.551)	-111.309 (104.566)	0.577 (149.587)	-102.934 (161.363)	-92.708 (98.793)	440.242 (311.924)	-140.2824 (91.403)	187.8448 (198.12)
R2 F-Test	0.055	0.058).276).000		104 000	0.003	0.188
Sample Size	600	600		600		00	231	40

Findings

State Appropriations

No clear relationship

Merit-based Aid

No clear relationship

Need-based Aid

- Centralization maybe decrease in need-based aid/FTE of ~ \$175
- No Governance increase in need-based aid/FTE of ~ \$100

Implications

- Despite findings, we suggest further research is needed rather than drawing a conclusion that community college governance is not a significant boundary-spanner
- Future research should expand and explore the relationship between board power
- More work to understand relationships and networks among two-year and four-year boards and state leaders
- More longitudinal data; dynamic panel models to account for endogeneity in financial variables

Questions





Questions

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